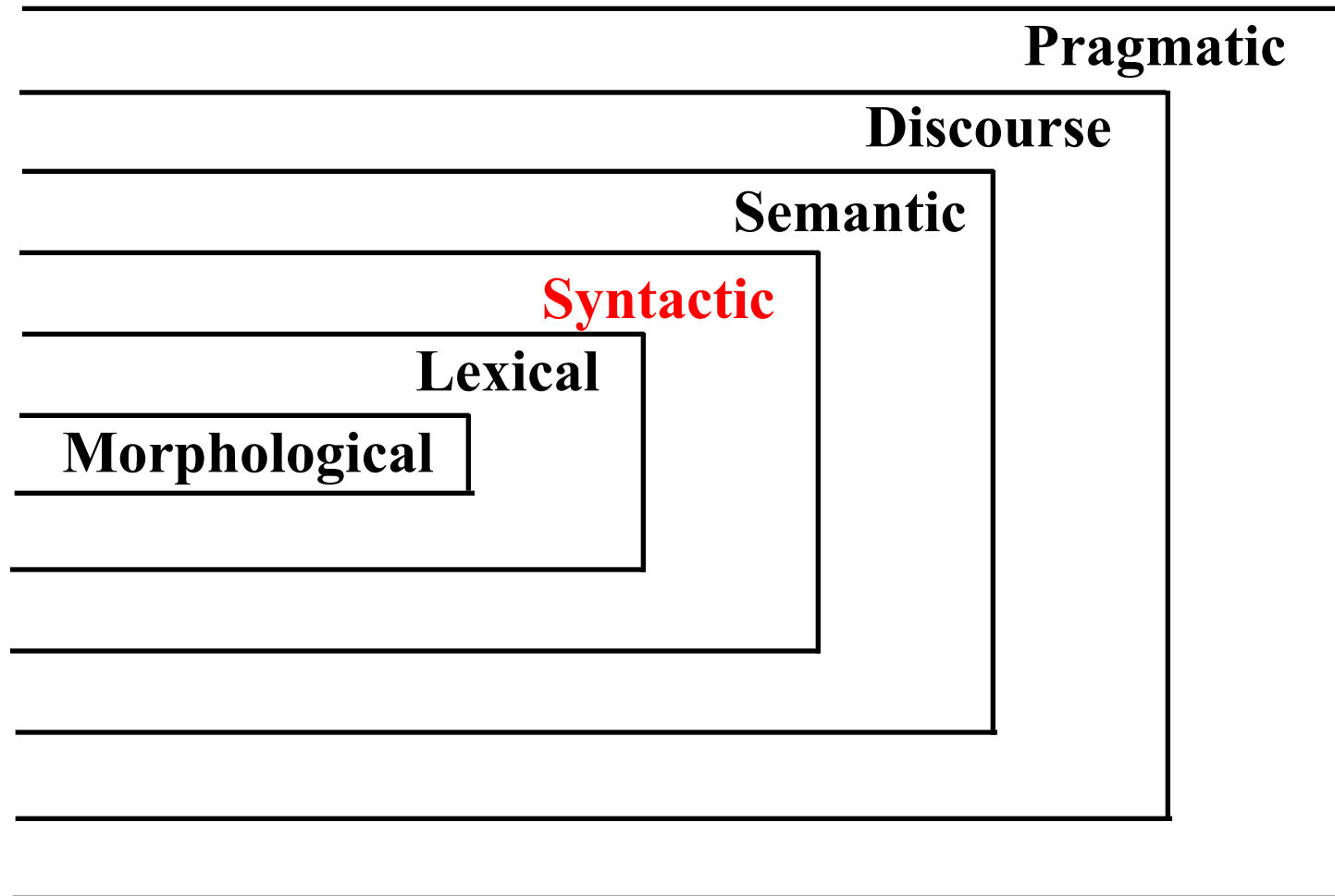

Context Free Grammars



Synchronic Model of Language



Syntactic Analysis

- Syntax expresses the way in which words are arranged together.
- The kind of implicit knowledge of your native language that you had mastered by the time you were 3 or 4 years old without explicit instruction
 - Do these word sequences fit together?
I saw you yesterday
you yesterday I year

colorless green ideas sleep furiously (Chomsky)
furiously sleep ideas green colorless
- NLP uses syntax to produce a structural analysis of the input sentence

Formal Grammar

- Rules that embody generalizations that hold for the symbols and combinations of symbols in a language for constructing acceptable sentences
 - grammar is most closely identified with syntax, but may contain elements of all levels of language
- Theoretical linguists use grammar
 - To indicate which are the well-formed sentences, defining that language
 - To show how variations of a ‘deep-structure’ are derived through ‘transformations’ on this ‘deep-structure’
 - ‘Competence’ based – an ideal speaker’s internalized ability to create and understand all sentences
- Applied uses
 - To assign a structural description to the linguistic elements of which an utterance is comprised
 - “...typically not consciously modeled after any particular linguistic theory, but as descriptions of phenomena that appeared in input text.”
 - ‘Performance’ based – person’s actual use of language

Context-Free Grammars

- Capture **constituency and ordering**
 - Ordering is
 - What are the rules that govern the ordering of words and bigger units in the language
 - Constituency is
 - **How do words group into units and what we say about how the various kinds of units behave**
 - A constituent is a sequence of words that behave as a unit
 - John talked [to the children] [about drugs].
 - John talked [about drugs] [to the children].
 - *John talked drugs to the children about (random reorder)
 - Constituents can be expanded or substituted for:
 - I sat [on the box/right on top of the box/there]
 - Other properties: Coordination, regular internal structure, no intrusion, fragments, semantics, ...

Context-Free Grammar consists of:

- **Non-terminal symbols**

S, NP, VP, etc. representing the constituents
or categories of phrases

- **Terminal symbols**

car, man, house, representing words in the lexicon

- The rewrite rules will include lexical insertion rules
(e.g. $N = car \mid man \mid house$)

- **Rewrite rules / productions**

$S \rightarrow NP VP \mid VP$

(note use of | symbol to give alternate rhs of rules)

- A designated start symbol S

- A **derivation** is a sequence of rewrite rules applied to a string that exactly covers the items in that string

Derivation of syntax from grammar rules

- *Show top-down and bottom-up derivation of a parse tree.*

the man eats the apple

Context Free Grammar Rules (for this example):

S → NP VP

NP → DT NN

VP → VB NP

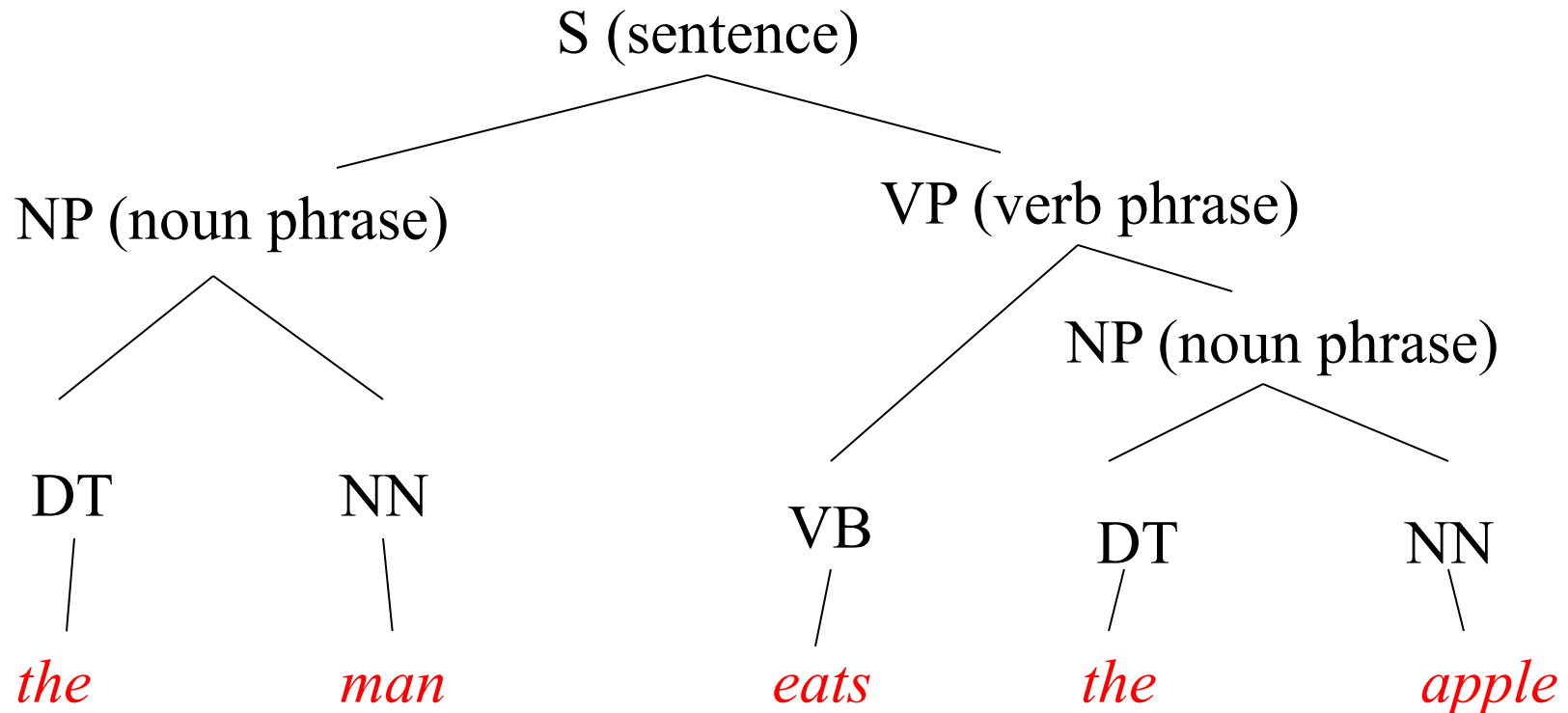
VP → VB

DT → *the* | ...

NN → *man* | *apple* | ... (add words)

VB → *eats* | ...

Derivation of syntax from grammar rules



Context Free Grammar Rules:

S → NP VP

NP → DT NN

VP → VB NP

VP → VB

DT → *the* | ...

NN → *man* | *apple* | ... (add words)

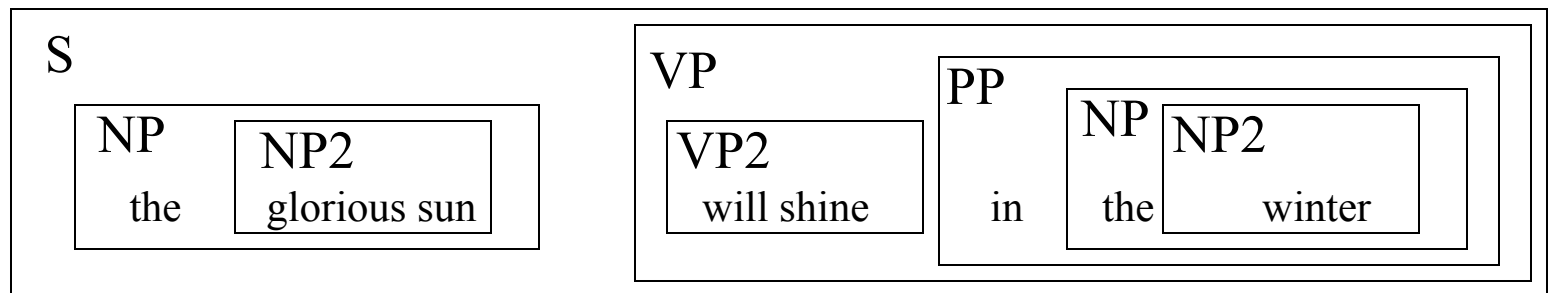
VB → *eats* | ...

Notations for (constituent) syntactic structure

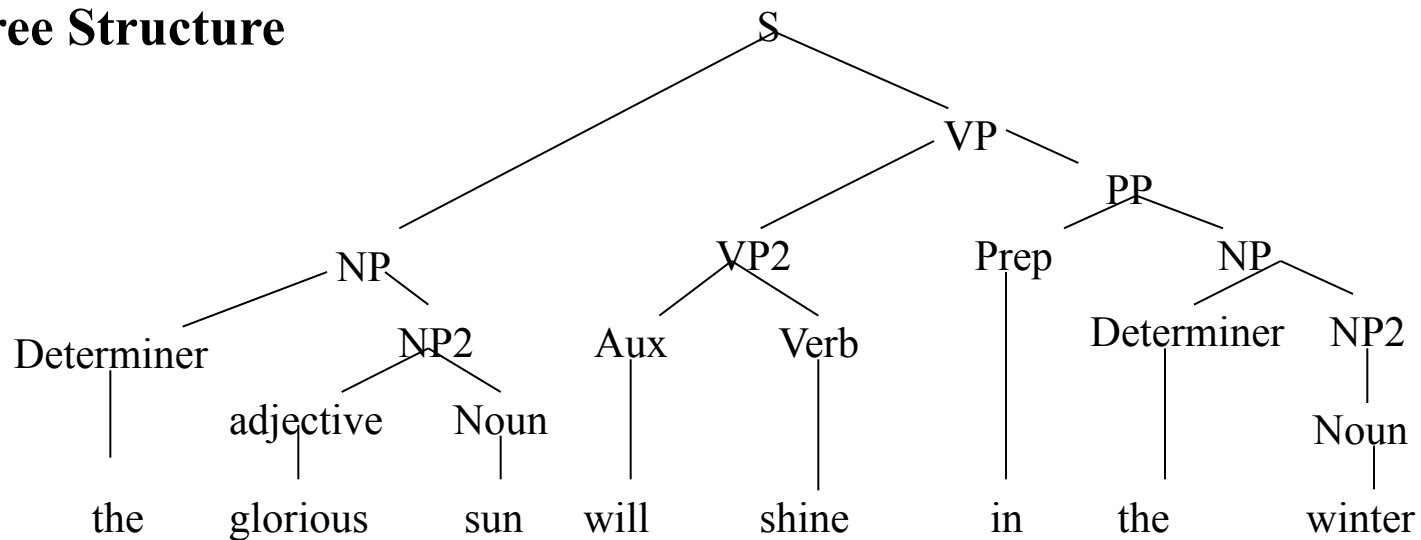
Bracketed text

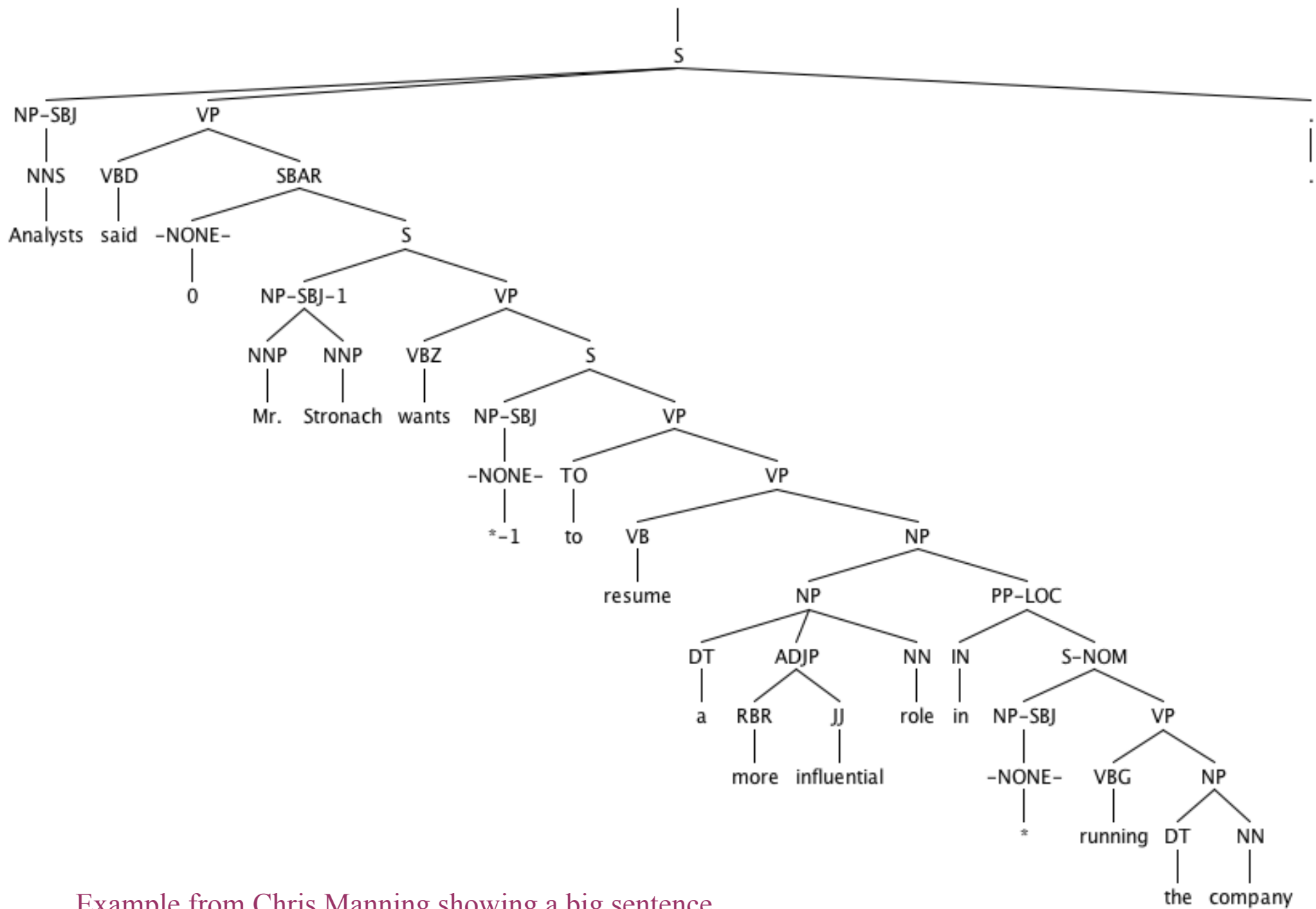
[_S [_{NP} the [_{NP2} glorious sun]] [_{VP} [_{VP2} will shine] [_{PP} in [_{NP} the [_{NP2} winter]]]]]

Nested Boxes



Tree Structure





Example from Chris Manning showing a big sentence with nested constituents and empty elements.

Generativity vs. Parsing

- You can view these rules as either synthesis or analysis machines
 - Generate strings in the language
 - Reject strings not in the language
 - Impose structures (trees) on strings in the language
- The latter two are the analysis tasks of parsing
 - Parsing is the process of finding a derivation (i. e. sequence of productions) leading from the START symbol to a TERMINAL symbol (or TERMINALS to START symbol)
 - Shows how **a particular sentence *could be* generated by the rules of the grammar**
 - If sentence is structurally ambiguous, **more than one possible derivation is produced**

Context-Free Grammars

- Why Context-Free?
 - The notion of **context** in CFGs has nothing to do with the ordinary meaning of the word context in language.
 - All it really means is that the non-terminal on the left-hand side of a rule can be replaced regardless of context
 - Context-sensitive grammars allow context to be placed on the left-hand side of the rewrite rule
- In programming languages, and other uses of CFGs in Computer Science, notably XML, CFGS are
 - Unambiguous
 - Assign at most, 1 structural description to a string
 - Parsable in time linearly proportional to the length of the string

Key Constituents for English

- English has headed phrase structure
 - X-bar theory: in natural languages, phrases are headed by particular kinds of words with modifiers and qualifiers around them (specifiers, adjuncts, and complements)
- Verb Phrases VP \rightarrow ... VB* ...
- Noun Phrases NP \rightarrow ... NN* ...
- Adjective Phrases ADJP \rightarrow ... JJ* ...
- Adverb Phrases ADVP \rightarrow ... RB* ...
- Sentences (and clauses): SBAR \rightarrow S | SINV | SQ ...
 - Sentences, inverted sentences, direct questions, ... can also appear in larger clause structure SBAR where sentence is preceded by *that*
- Plus minor phrase types:
 - QP (quantifier phrase in NP), PP (prepositional phrase), CONJP (multi word constructions: *as well as*), INTJ (interjections), etc.

e.g. Penn Treebank Constituent Tags:

<http://www.surdeanu.info/mihai/teaching/ista555-fall13/readings/PennTreebankConstituents.html> 13

Sentences

- Sentences

- Declaratives: A plane left

S -> NP VP

- Imperatives: Leave!

S -> VP

- Yes-No Questions: Did the plane leave?

S -> Aux NP VP

- WH Questions: When did the plane leave?

S -> WH Aux NP VP

Noun Phrases

- Noun phrases have a **head noun** with pre and post-modifiers
 - Determiners, Cardinals, Ordinals, Quantifiers and Adjective Phrases are all optional, indicated here with parentheses
 - NP -> (DT) (Card) (Ord) (Quan) (AP) **Noun**
 - Noun -> NN | NP | NPS | NNS (*the four noun POS tags*)
 - Post-modifiers include prepositional phrases, gerundive phrases, and relative clauses
 - the **man** [from Moscow]
 - any **flights** [arriving after 11pm] (gerundive)
 - the **spy**[who came in from the cold] (relative clause)

Some examples on these slides are from the Jurafsky and Martin text and from Jim Martin's online course materials.

Recursive Rules

- One type of Noun phrase is a Noun Phrase followed by a Prepositional phrase

* NP \rightarrow NP PP

PP \rightarrow Prep NP

- Of course, this is what makes syntax interesting

flights from Denver

flights from Denver to Miami

flights from Denver to Miami in February

flights from Denver to Miami in February on a Friday

flights from Denver to Miami in February on a Friday under \$300

flights from Denver to Miami in February on a Friday under \$300 with lunch

- Syntax trees for these examples also need rules for NP \rightarrow Noun, etc.

* This grammar illustrates the recursion, but may not give the best derivation for these phrases! 16

Verb Phrases

- Simple Verb phrases

VP -> Verb

leave

| Verb NP

leave Boston

| Verb NP PP

leave Boston in the morning

| Verb PP

leave in the morning

- Verbs may also be followed by a clause

VP -> Verb S

I think I would like to take a 9:30 flight

- The phrase or clause following a verb is sometimes called the complementizer

Conjunctive Constructions

- $S \rightarrow S \text{ and } S$
 - John went to NY and Mary followed him
- $NP \rightarrow NP \text{ and } NP$
- $VP \rightarrow VP \text{ and } VP$
- ...
- In fact the right rule for English is
 $X \rightarrow X \text{ and } X$

-
- In-class exercise

Problems

- Context-Free Grammars can represent many parts of natural language adequately
- Here are some of the problems that are difficult to represent in a CFG:
 - Agreement
 - Subcategorization
 - Movement (for want of a better term)

Agreement

- This dog
- Those dogs
- *This dogs
- *Those dog
- This dog eats
- Those dogs eat
- *This dog eat
- *Those dogs eats
- In English,
 - subjects and verbs have to agree in person and number
 - Determiners and nouns have to agree in number
- Many languages have agreement systems that are far more complex than this.
- Solution can be either to add rules with agreement or to have a layer on the grammar called the features

Subcategorization

- Subcategorization expresses the constraints that a particular verb (sometimes called the predicate) places on the number and syntactic types of arguments it wants to take (occur with).
 - Sneeze: John sneezed
 - Find: Please find [a flight to NY]_{NP}
 - Give: Give [me]_{NP}[a cheaper fare]_{NP}
 - Help: Can you help [me]_{NP}[with a flight]_{PP}
 - Prefer: I prefer [to leave earlier]_{TO-VP}
 - Told: I was told [United has a flight]_S
 - ...

Subcategorization

- Should these be correct?
 - John sneezed the book
 - I prefer United has a flight
 - Give with a flight
- The various rules for VPs *overgenerate*.
 - They permit the presence of strings containing verbs and arguments that don't go together
 - For example $VP \rightarrow V NP$ therefore
Sneezed the book is a VP since “sneeze” is a verb and “the book” is a valid NP
- Now *overgeneration* is a problem for a generative approach.
 - The grammar should represent **all and only** the strings in a language
- From a practical point of view... Not so clear that there's a problem

Movement

- Consider the verb “booked” in the following example:
 - [[My travel agent]_{NP} [booked [the flight]_{NP}]_{VP}]_S



- i.e. “book” is a straightforward transitive verb. It expects a single NP arg within the VP as an argument, and a single NP arg as the subject.

Movement

- But what about?
 - Which flight do you want me to have the travel agent book?
- The direct object argument to “book” isn’t appearing in the right place. It is in fact a long way from where it’s supposed to appear.
- And note that it’s separated from its verb by 2 other verbs.
- In Penn Treebank, these types of movement are annotated by have an empty Trace constituent appear in the right place.

The Point about CFGs

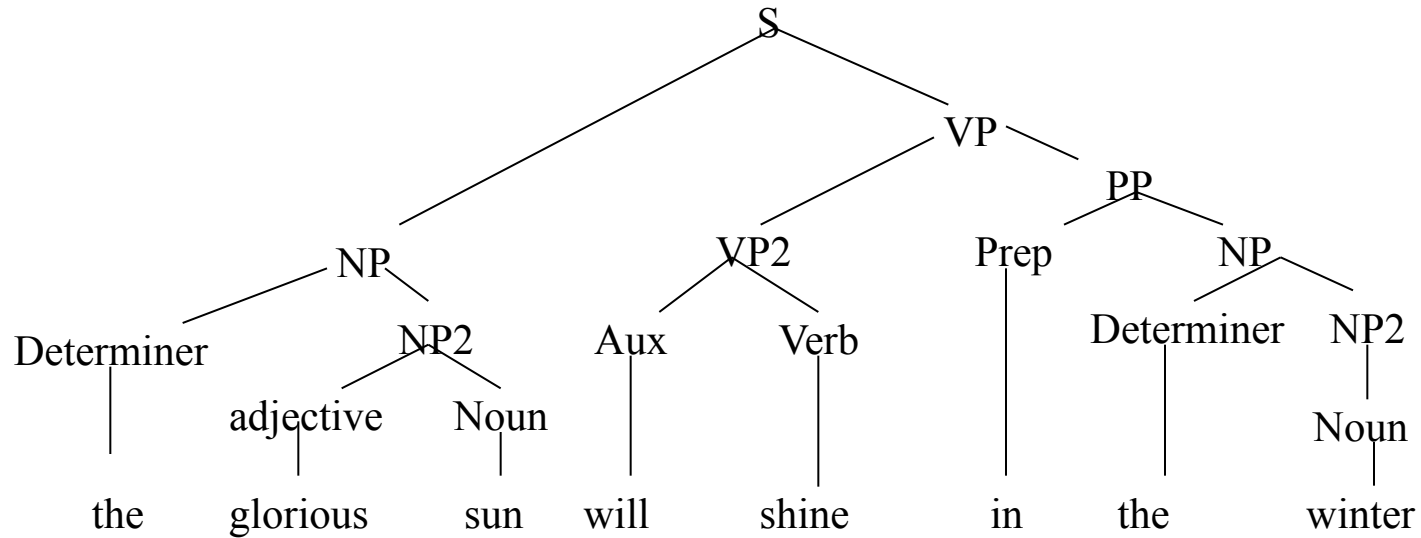
- CFGs appear to be just about what we need to account for a lot of basic syntactic structure in English.
- But there are problems
 - that can be dealt with adequately, although not elegantly, by staying within the CFG framework.
- There are simpler, more elegant, solutions that take us out of the CFG framework (beyond its formal power)
 - For example, Feature Structures for CFGs place constraints on how the rules can be applied

Dependency Grammars

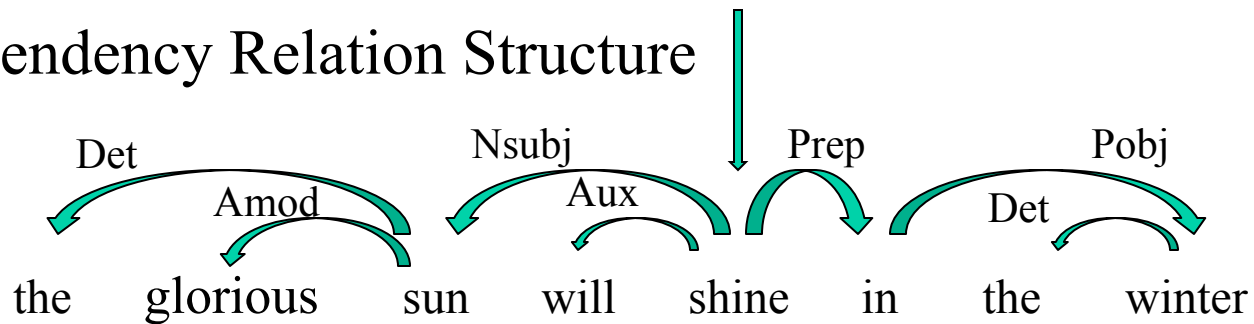
- Dependency grammars offer a different way to represent syntactic structure
 - CFGs represent constituents in a parse tree that can derive the words of a sentence
 - Dependency grammars represent syntactic dependency relations between words that show the syntactic structure
 - Typed dependency grammars label those relations as to what the syntactic structure is
- Syntactic structure is the set of relations between a word (aka **the head word**) and **its dependents**.

Examples

- Context Free Grammar Tree Structure



- Dependency Relation Structure

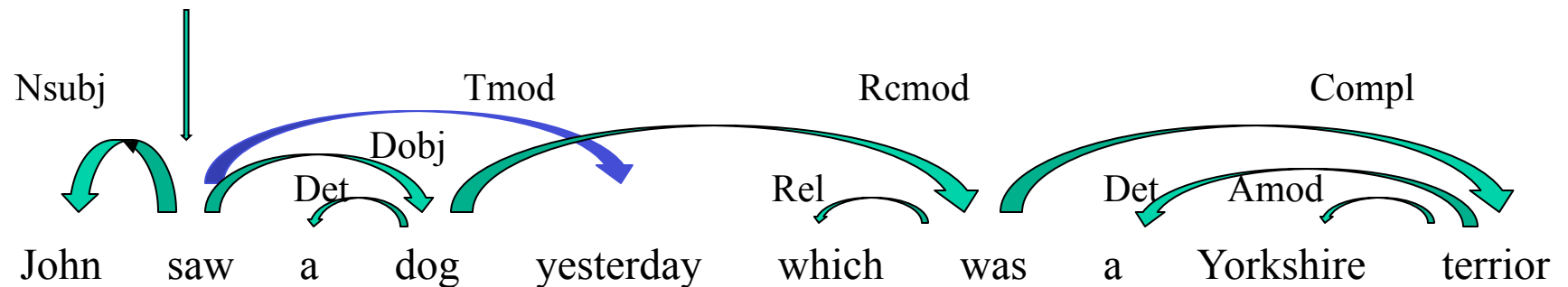


Dependency Relations

- The set of Grammar Relations has varied in number
 - 48 in the Stanford dependency parser
 - 59 in Minipar, a dependency parser from Dekang Lin
 - 106 in Link, a related link grammar parser from CMU
- The examples on the previous page used those from the Stanford dependency parser
 - De Marneffe, MacCartney and Manning, Generating Typed Dependency Parses from Phrase Structure Parses, LREC (Language Resources and Evaluation Conference), 2006.

Projective vs. Non-Projective

- In the dependency graph as depicted in the previous example, with the words in sentence order, if no arcs cross, then it is a projective tree
- If there are crossing arcs, then it is a non-projective tree



- CoNLL (Conference on Natural Language Learning) 2006 had dependency parsing as the shared task on 13 languages, not including English. Out of the languages which had non-projective sentences in the treebanks, from 0.5% to 5% of the sentences were non-projective.

Dependency Grammar vs. CFG

- Dependency grammars and CFGs are strongly equivalent
 - Generate the same sentences and make the same structural analysis
 - Haim Gaifman, 1965, “Dependency systems and phrase structure systems”.
- Provided that the CFGs are restricted in that one word or phrase can be designated as its “head”
 - This restriction also accepted by linguists in X-bar theory
 - Proposed by Chomsky and further developed by Ray Jackendoff, 1977, “X-bar-Syntax: A Study of Phrase Structure”
 - Note that the head of a noun phrase is a noun, the head of a verb phrase is a verb, etc.